Administration Flow Analysis in a Government Institute: A Strategic Flow Management System

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Abstract. Over the past decades, the growth and development of information technology (IT) has brought a wider variety of innovative applications and services. Since IT can always satisfy the requirements of human, those large enterprises which need to reduce cost and labors, and make the largest profit will think of what IT can do for them. Thus, BPM (Business Process Management) come with the tide of fashion, and attracted many businesses'attention. BPM has prevailed for many years and there are many applications developed by many companies. Workflow is another synonym for 'business process'and workflow management system is an important management system in many enterprises and institutes. There is also a flow engine product in the market to help develop flow management system. Workflow management system is applied to many large businesses, but not every government institutes can develop the mutual related systems since each subordinate has its own organization structure and most of their scale is not large. In this project, we take a medium scale of government institute as an example, through the support of IT, we hope to create a basic framework for all kinds of forms, and integrate the related systems. This paper also proposes a solution of architecture combining service-oriented architecture (SOA) and business process management (BPM).

Keywords. BPM, Workflow, Integration System, Information Technology, SOA

1. Introduction

Over the past decades, businesses and governments have been giving increasing attention to business processes—to their description, automation, and management. This interest grows out of the need to streamline business operations, consolidate organizations, and save costs, reflecting the fact that the process is the basic unit of business value within an organization. In the administration environment of any government institute, there are many kinds of administrative procedures, and thus, more and more application forms are needed to assist these tasks. In the administrative flow, a large number of papers are used in the administrative operations. Since the price of fossil fuel was dramatically increasing these years, the governments began to pay much attention to the issues of energy, consequently, they proposed a slogan of “Energy conserved and papers reduced” and urged the subordinate institutes to carry out this mission. In fact, the most effective method of reducing papers is to apply IT and develop a flow management system (FMS). There are many kinds of flow engine products offered by different companies in the market to help develop flow management system. Should we use it or develop our own flow framework is a critical
decision. We also give an objective evaluation and hope to find a good solution for our problems. This project presents a holistic review of BPM and how the system works related to the concept. It also discusses modeling model, system flows, databases, developing tools, and integration issues. Finally, it gives us a whole picture of the demonstration of FMS.

2. Literature Review

IT has played an important role with a history of about the several decades. Many techniques and concepts emerged to meet the requirements of human. This section introduces existing IT concepts and gives a clear demonstration.

2.1. Business Process Management (BPM)

BPM is an old discipline that allows you to model the organizational structure, define the business processes, and show the interactions between them.[5] BPM technology provides not only the tools and infrastructure to define, simulate, and analyze business process models, but also the tools to implement business processes. [1] BPM includes methods, techniques, and tools to support the design, enactment, management, and analysis of operational business processes. It can be considered as an extension of classical Workflow Management (WFM) systems and approaches.[2] BPM issues a revolution in management which concentrates on business process, demanding the enterprise to establish a clear process system and manage it effectively.[3]

2.2. Workflow

The term ‘workflow’ is used as a synonym for ‘business process’. It is important to understand the role which workflow management system can play and how they should applied. Workflow management systems (WFMS) have been introduced to support the design, execution and monitoring of business process.[11] Workflow management promises a new solution to an age-old problem: controlling, monitoring, optimizing and supporting business processes. [8] Many organizations with complex business processes have identified the need for concepts, techniques, and tools to support the management of workflows. Based on this need the term workflow management was born. [9, 10]

2.3. Service-Oriented Architecture (SOA)

A service-oriented architecture is essentially a collection of services. These services communicate with each other. The communication can involve either simple data passing or it could involve two or more services coordinating some activity. Some means of connecting services to each other is needed.[7] SOA is a kind of structure model which is composed of standard components about service technology. Its purpose is to provide a flexible and reusable integrated interface for enterprises, schools or network offering organizations.[6] SOA is an important methodology of programming and it is a rule for administrative management. Through SOA, the integration of heterogeneous system integration become easy, and the reusability of
codes become high. SOA answers for the technological problems such as integration and encapsulation. Architecture combining SOA and BPM improves the agility of business and the enterprise from both management and techniques, and makes the enterprise more competitive by adapting swiftly to the ever-changing market.[3]

2.4. Three-tier architecture

Most web-based management systems adopt three-tier architecture, and this project is the same. Three-tier architecture is a client–server architecture in which the user interface (presentation), functional process logic ("business rules"), computer data storage and data access are developed and maintained as independent modules, most often on separate platforms.[13] It was developed by John J. Donovan in Open Environment Corporation (OEC), a tools company he founded in Cambridge, Massachusetts. [4] Fig. 1 illustrates how each tier works in three-tier architecture:

![Diagram of three-tier architecture](image)

Fig. 1 Three-tiers structure

(1) Presentation tier

The presentation tier displays information related to such services as browsing merchandise, purchasing and shopping cart contents. It communicates with other tiers by which it puts out the results to the browser/client tier and all other tiers in the network. (In simple terms it is a layer which users can access directly such as a web page, or an operating systems GUI)

(2) Application tier (business logic, logic tier, or middle tier)

The logical tier is pulled out from the presentation tier and, as its own layer, it controls an application’s functionality by performing detailed processing.

(3) Data tier

The data tier includes the data persistence mechanisms (database servers, file shares, etc.) and the data access layer that encapsulates the persistence mechanisms and exposes the data. The data access layer should provide an Application Programming Interface (API) to the application tier that exposes methods of managing the stored data without exposing or creating dependencies on the data storage mechanisms.
3. Evaluations

3.1. selection of method

The purpose of this project is to collect many kinds of application forms in a government institute, analyze the administrative flow, find the rules, and finally build some modules to fit into all the flow. There are many products of flow engine in the market, but we decide to develop our own flow management system (FMS) instead of using the business software. There are some reasons about not using the flow engine in the market: (1) It requires extra labor to learn and maintain the flow engine. Besides, it also needs to share some data with existing system. (2) IT members are more aware of their information environment and any modification about system will be more flexible. (3) We need additional server to install a flow engine.

3.2. selection of development tools

The WEB-based development tools are used to build web pages and web sites with HTML, CSS, JavaScript and server scripting and it can be divided into two mainstreams, which are java and ASP .NET. Each tool has its own specialties and it is hard to choose one of them. Finally, we chose ASP .Net as the development tool. The web server is IIS (Internet Information Technology). Generally speaking, IIS goes with ASP .Net.

4. Methodologies

This study uses IT technologies to design a web-based management system which deal with requesting, getting and transaction of data. Those transaction needs some tools and its methodologies, which are described as bellows:

4.1. AnyLogic

We adopt AnyLogic as an analyzing tool to simulate the business process. The simulation approach allows us to analyze and evaluate the effectiveness of the business processes already existing in the company, to work out an improvement plan addressing discovered problems, to improve existing business processes, and to develop entirely new business processes based on simulation experiments. [14]

4.2. ASP .Net

A web development tool is necessary for build a management system. We use ASP .Net as the development tool not only it can support most the services in developing system, but also it is universal. Many treat ASP.NET as a language. In fact it is not a language, but a development platf also orm offered by .Net framework. [15]
4.3. **DBMS (DataBase Management System)**

Most management system needs database which is a specially designed data structure to store data. Thus, database management systems (DBMSs) are also designed to allow the definition, creation, querying, update, and administration of databases. A general-purpose DBMS is designed to allow the definition, creation, querying, update, and administration of databases. [16] There are many well-known DBMS existing in the information environment, such as MySQL, PostgreSQL, Microsoft SQL Server, Oracle, SAP Sybase and IBM DB2.

4.4. **SQL (Structured Query Language)**

SQL is a special-purpose programming language designed for managing data held in a relational database management system (RDBMS), or for stream processing in a relational data stream management system (RDSMS). SQL became a standard of the American National Standards Institute (ANSI) in 1986, and of the International Organization for Standardization (ISO) in 1987. [17] Since then, the standard has been revised to include a larger set of features. Despite the existence of such standards, though, most SQL code is not completely portable among different database systems without adjustments. [18]

5. **Analysis**

There are three major essentials in FMS. The first is ‘flow’. Each application procedure has different flow, and how to design it and make it fit into all kinds of application procedure is an important task. The second is ‘application forms’. There are different kinds of forms, and we have to design each form. The third is ‘roles’. The roles are mainly divided into five kinds and they are applier, promoter, budget controller, accountant and auditors which includes leaders, associate directors, directors, chief, promoter, etc. In the flow process, there are a series of auditing jobs. Some promoters play the role of auditor as well. The roles are summarized in fig 2.

![Fig. 2 five roles in FMS](image-url)
5.1. Flow and Simulation

Fig. 3 illustrates the flow in FMS. In the auditing process, there are four conditions. Since each department has different hierarchical structure, some may have only one auditing layer, others may have up to 3 auditing layers. Condition 1 and condition2 can demonstrate such a situation. Condition 3 shows that whether the application needs expense report or not. If it is true, then there is a series of budget and expense audit procedures. A few applications need promoters to deal with some work at the end. Condition 4 shows such a situation as well.

In fig. 3, the number represents the quantity in the flow. We simulate the flow process in a week, and the quantity in a week is about 2,100. Thus, it will near 100,000 (2100 X 52 = 109,200) in a year. Therefore, it can reduce papers up to 100,000. If more application forms applied in this system, the number will increase.

![Fig. 3 Flow in FMS](image)

5.2. Functions & Integration

The functions mainly consist of applying, undertaking, auditing, expense report, statistics & Query and system administration. Appliers have the privilege to use ‘applying’ and ‘expense report’ functions. If this application needs expense, then expense function is required in the beginning and expense report function is also needed at the end. Just few promoters need to do undertaking job at the end, and they also play the role of auditor to check the application. Auditors just do the auditing job, and most of them are the promoters, leaders, directors, and chief in each department. After checking the application, they can decide if let it keep going, or return it to appliers. Those applications which need expense have to go through budget controller, accountant, and the leader, director in accounting department. There is a budget system to manage the budget and expense and it is used by budget controller and accountant. Thus, there is some integration in the project.
In fact, in MIS environment, some systems have to share data with the other systems, and some control points are involved with each other. Therefore, integration is very important in MIS. Some applications need money in this system; thus, integration with the existing budget system is necessary. Because it needs the organization hierarchical structure, human sources and projects information, for example. Hence, more systems and more integration.

6. System Implementation and Demonstration

This section demonstrates the system online. Fig. 5 shows the applying interface. We take visiting application as an example, in addition to its main input interface, there are other input interfaces, as the circled region in Fig. 5. Each application form is different since each has its characters. Some may be complex and need more interfaces to input data, others may be simple and just needs a minimal interface.

Fig. 6 illustrates one example of query. Statistics and query give important information to the decision maker. Owing to the diversity of each application, this leads to a varied data and diagram. In this example, it needs 3 different kinds of statistics, as circled in Fig. 6.

7. Conclusion

This research provides techniques of capturing, organizing, and analyzing existing administrative application forms in a government institute. The FMS presents a flexible and extensible framework and it can also be integrated with other systems. The implementation of this project research has the following contributions. First, The
application will be more efficiently because the system put the application forms together and it is easy to find. Finally, it indeed reduces the papers and thus achieves the goal the government’s expectation. Since there are many application forms are needed to run in a government institute, and some forms are used in a great number every day. Thus, we believe FMS can satisfy our needs, and attain the goal of “Energy conserved and papers reduced”.

![Fig. 5 applying interface in FMS](image_url)

![Fig. 6 Statistics and Query interface in FMS](image_url)

**References**


